# National Rules for NCTS5

## New Computerised Transit System Phase 5

**Development / Modification:**

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| 1.8 | APIS IT | | 30.04.2024 |

**Validated by:**

| Version | Responsible | Signature | Function/ Organisational unit | Date of validation |
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**CONTENT:**

# 1. INTRODUCTION

## 1.1. PURPOSE OF THE DOCUMENT

The document contains the information needed to check national rules and conditions on incoming external domain messages.

## 1.2. TERMS AND ABBREVIATIONS

| TERM/ABBREVIATION | EXPLANATION |
|---|---|
| NRxxxx | National rule |
| | |
| | |
| | |

## 1.3. REFERENCES

| REFERENCE | DOCUMENT | VERSION |
|---|---|---|
| DDCOM | DESIGN DOCUMENT FOR COMMON OPERATIONS AND METHODS<br>DDCOM-Main Document-SfA-v20.20.docx | 20.20 |
| APP-C | Appendix C: NCTS Codelists<br>DDNTA_APP_C-v5.14_CSRD2_NCTS-P5_Export(en)_Valid_2020-09-06_(2020-07-23)-v1·15.xlsx | 5.15 |
| APP-K | Appendix K: Rules and Conditions Mapping<br>DDNTA_APP_K-v5.15-SfA.xlsx | 5.15 |
| APP-Q2 | Appendix Q2: Technical Message Structure<br>DDNTA_APP_Q2-v5.15-SfA.pdf | 5.15 |
| APP-Q2-RC | Appendix Q2: Technical Message Structure<br>DDNTA_APP_Q2 R_C-v5.15-SfA.xlsx | 5.15 |
| APP-R | Appendix R: XML Mapping<br>DDNTA_APP_R-v5.15-SfA.pdf | 5.15 |
| APP-Y | Appendix Y: Data Groups & Transaction Hierarchy<br>DDNTA_APP_Y-v5.15-SfA.pdf | 5.15 |
| APP-Z | Appendix Z: Data Items<br>DDNTA_APP_Z-v5.15-SfA.pdf | 5.15 |

## 2. NATIONAL RULES

### 2.1. NR0001 – The amount of currency and guarantee is mandatory

| NR0001 | CC015C, CC013C |
|---|---|
| Data<br>`/*/Guarantee/GuaranteeRefference/ammountToBeCovered` i<br>`/*/Guarantee/GuaranteeRefference/currency`<br>is required . | |

### 2.2. NR0002 – Type of currency

| NR0002 | CC015C, CC013C |
|---|---|
| Podatak `/*/Guarantee/GuaranteeRefference/currency`  must be 'EUR'. | |

### 2.3. NR0003 – Message sender check

| NR0003 | CC015C, CC013C, CC014C, CC054C, CC141C, CC170C, CC007C, CC044C |
|---|---|
| Message sender (ZIISC_Sender) must be recorded in the message, ie. must be identical to the data `/*/messageSender`. | |

### 2.4. NR0004 – Message sender at departure - SUSPENDED

| ~~NR0004~~ | CC015C, CC013C, CC014C, CC054C, CC170C |
|---|---|
| Message sender (ZIISC_Sender) must be identical to `/*/HolderOfTransitProcedure/identificationNumber` or `/*/Representative/identificationNumber`.<br><br>In case of CC014C or CC054C Message sender (ZIISC_Sender) must be identical to `/*/HolderOfTransitProcedure/identificationNumber` or `(/CC015C/Representative/identificationNumber)`. | |

### 2.5. NR0005 – Export followed by transit

| NR0005 | CC013C |
|---|---|
| IF Export Followed by Transit procedure is declared (transit declaration contains at least one previous document of type 'N830')<br>THEN, during Transition period, declaration amendment (CC013C) must also contain at least one previous document of type 'N830' on consignment item level<br>(`/*/Consignment/HouseConsignment/ConsignmentItem/PreviousDocument/type`)<br>ELSE, post Transition period, declaration amendment (CC013C) must also contain at least one previous document of type 'N830' on house consignment level<br>(`/*/Consignment/HouseConsignment/PreviousDocument/type`) | |

### 2.6. NR0006 – GRN check

| NR0006 | CC015C, CC013C |
|---|---|
| Data `/*/Guarantee/GuaranteeReference/GRN` must not be repeated in the declaration . | |

### 2.7. NR0007 – Croatian Customs office of departure

| NR0007 | CC015C, CC013C |
|---|---|
| Data `/*/CustomsOfficeOfDeparture/referenceNumber` must have value 'HR' in first two characters . | |

### 2.8. NR0008 – Limit Date (expected date of arrival at destination)

| NR0008 | CC015C, CC013C |
|---|---|
| **IF data** `/*/TransitOperation/limitDate` is specified THEN it must be greater than or equal to the current date and must be in the current or the next year. | |

### 2.9. NR0009 – Expected date of arrival at office of transit

| NR0009 | CC015C,CC013C |
|---|---|
| **IF data** `/*/CustomsOfficeOfTransit(Declared)/arrivalDateAndTime(Estimated)` is declared THEN it must be greater than or equal to the current date and must be in the current or the next year and it must be less than or equal to the data `/*/TransitOperation/limitDate` f that data is specified. | |

### 2.10. NR0010 – Verification of ACR authorisation for simplified procedure at departure

| NR0010 | CC015C, CC013C |
|---|---|
| Data group `/*/Authorisation` must not contain more than one authorization of type 'C521' (ACR authorisation). **IF** data group `/*/Authorisation` contains exactly one ACR authorisation (simplified procedure) THEN 1. that authorisation `/*/Authorisation/referenceNumber` must be valid and the user of the procedure (`/*/HolderOfTheTransitProcedure`) must be the user of the authorization | |

> 2. declaration must not contain commodity codes
>    /*/Consignment/HouseConsignment/ConsignmentItem/Commodity/CommodityCode/harmonizedSystemSubheadingCode
>    prohibited by that authorisation
>    (which is determined by querying the CRS)

## 2.11. NR0011 – Language at departure

| NR0011 | CC015C, CC013C |
|---|---|
| Data /*/TransitOperation/communicationLanguageAtDeparture must have value 'HR' . | |

## 2.12. NR0012 - Message sender at destination - SUSPENDED

| ~~NR0012~~ | CC007C, CC044C |
|---|---|
| Message sender (ZIISC_Sender) must be identical to /*/TraderAtDestination/identificationNumber | |

## 2.13. NR0013 – Language at destination

| NR0013 | CC007C |
|---|---|
| Data /*/TransitOperation/communicationLanguageAtDestination must have value 'HR'. | |

## 2.14. NR0014 – Verification of ACE authorisation for simplified procedure at destination

| NR0014 | CC007C |
|---|---|
| Data group CC007C/Authorisation must not contain more than one 'C522' type approval (ACE authorisation). | |
| **IF** data group CC007C/TransitOperation/simplifiedProcedure has value '1' THEN data group CC007C/Authorisation must contain exactly one ACE authorisation, that authorisation (CC007C/Authorisation/referenceNumber) then must be valid and the trader at destination (CC007C/TraderAtDestination) must be the user of the authorization (which is determined by querying the CRS). | |

## 2.15. NR0015 – Maximum appearance of Guarantee reference

| NR0015 | CC013C, CC015C |
|---|---|
| Data group /*/Guarantee/GuaranteeReference can be repeated a maximum of 30 times in total. | |

These total 30 repeats were allowed to be within only one or within multiple `/*/Guarantee` groups.

## 2.16. NR0016 – Start of sending insurance inquiries

| NR0016 | CC034C |
|---|---|
| The data `/*/GuaranteeReference/GuaranteeQuery/periodFromDate` must be greater than the NCTS 5 production date. | |

## 2.17. NR0017 – The number of occurrences of the GuaranteeReference group

| NR0017 | CC034C |
|---|---|
| Data group `/*/Guarantee/GuaranteeReference` must appear only once. | |

## 2.18. NR0018 – Insurance inquiry sender verification

| NR0018 | CC034C |
|---|---|
| The data `/*/Requester/role` must have the value '1' (user of the procedure). The sender of the message (ZIISC_Sender) must be equal `/*/Requester/identificationNumber.` | |

## 2.19. NR0019 – Checking all approvals by querying CRS

| NR0019 | CC015C, CC013C |
|---|---|
| If data group `/*/Authorisation` contains authorization types different than 'C521' (ACR authorization) then they must be valid and the procedure user (`/*/HolderOfTheTransitProcedure`) must be the authorization user (as determined by querying the CRS). | |

## 2.20. NR0020 – Verification of approval when receiving a notice of arrival for a simplified procedure by querying the CRS

| NR0020 | CC007C |
|---|---|

Data group `CC007C/Authorisation` must contain valid authorizations, which is determined by querying the CRS.

**IF** data group `CC007C/TransitOperation/`simplifiedProcedure has a value of '1' and **IF** data group `CC007C/Authorisation` contains types of authorisation other than 'C522' (ACE approval) then they must be valid and the trader at destination (`CC007C/TraderAtDestination`) must be the holder of the authorisation (as determined by an enquiry in the CRS).

## 2.21. NR0021 – Verification of AEO approval for the Representative and user of the procedure

| NR0021 | CC015C, CC013C |
|---|---|

It is necessary to request additional reference codes Y025, Y026 at the following declaration levels:

`*.Consignment.AdditionalReference.Type`

`*.Consignment.HouseConsignment.AdditionalReference.Type`

`*.Consignment.HouseConsignment.ConsignmentItem.AdditionalReference.Type`

Then check the validity of the AEO authorisation (representative/holder of the transit procedure) by querying the CRS against the found code (v.[Servisi]):

To check Y025, CC015C.REPRESENTATIVE.Identification number is used

To check Y026, CC015C.HOLDER OF THE TRANSIT PROCEDURE.Identification number is used

The AEO authorization must be valid, otherwise the message is rejected.

## 2.22. NR0022 – Authorization check for simplified pre-filed procedure

| NR0022 | CC0170C |
|---|---|

If it is a simplified procedure (NR0010) and if the declaration is submitted in advance (CC015C.TRANSIT OPERATION. Additional declaration type has the value 'D') then the authorization number specified in `CC170C.CONSIGNMENT.LOCATION OF GOODS.authorisation number` must be the same as the authorization type number 'C521' (ACR authorization) specified in the declaration (`/*/AUTHORISATION.reference number`).
The system shall verify in the CRS that the CC170C approval is valid and that the holder of the procedure (`/*/HolderOfTheTransitProcedure`) is the holder of the authorisation (v.[Servisi]).

## 2.23. NR0023 – Mandatory identification number of the holder of the procedure

| **NR0023** | | **CC015C, CC013C** |
|---|---|---|

The data `/*/HOLDER OF THE TRANSIT PROCEDURE.Identification number` is mandatory and if the first two characters of the data are from CL010 (CountryCodesCommunity) it must be a valid EORI number (which is determined by a query in EORI), and for the other members of CTC the TIN/TCUIN number can be used.

## 2.24. NR0024 – Verification of AEO authorisation for Consignor, Consignee and Carrier

| **NR0024** | **CC015C, CC013C** |
|---|---|

If the declaration is marked as security (CC015C.TransitOperation.security=1,2 or 3), additional reference codes Y022, Y023 and Y028 should be requested at the following declaration levels:

`*.Consignment.AdditionalReference.Type`

`*.Consignment.HouseConsignment.AdditionalReference.Type`

`*.Consignment.HouseConsignment.ConsignmentItem.AdditionalReference.Type`

Then check the validity of the AEO authorisation (consignor/consignee/carrier) by querying the CRS (v.[Servisi]):

For verification Y022, if the approval is specified:
- at consignment level is used CC015C.CONSIGNMENT.CONSIGNOR.Identification number
- at the level of the internal consignment is used CC015C.CONSIGNMENT.HOUSE CONSIGNMENT.CONSIGNOR.Identification number

For verification Y023, if the approval is specified:
- at consignment level is used CC015C.CONSIGNMENT.CONSIGNEE.Identification number
- at the level of the internal consignment is used CC015C.CONSIGNMENT.HOUSE CONSIGNMENT.CONSIGNEE.Identification number
- at the item level is used CC015C.CONSIGNMENT.HOUSE CONSIGNMENT.CONSIGNMENT ITEM. CONSIGNEE.Identification number

To check Y028 is used CC015C.CONSIGNMENT.CARRIER.Identification number

The AEO authorisation must be indicated at the level of the declaration indicating the party to which the authorisation belongs and the authorisation must be valid, otherwise the message is rejected.

## 2.25. NR0025 – Export followed by transit during transition period

| **NR0025** | **CC015C, CC013C** |
|---|---|

IF Export followed by transit during transition period is usedTHEN
    Each item (group /*/Consignment/HouseConsignment/ConsignmentItem) may have only oneprevious document (subgroup PreviousDocument) of type 'N830'.
    In that case reference number (data PreviousDocument.referenceNumber) has to be validexport MRN.

## 2.26. NR0026 – Guarantee types for simplified procedure (ACR)

| NR0026 | CC015C, CC013C |
|---|---|

If a simplified procedure (NR0010) is declared, then only guarantee types under the jurisdiction of the guarantee office (/*/GUARANTEE.Guarantee type from CL286) may be declared and at least one such guarantee must be declared

## 2.27. NR0027 – Normal and simplified procedure (ACR)

| NR0027 | CC013C |
|---|---|

IF the /*/Authorisation group contains exactly one ACR authorisation (simplified procedure)

THEN the declaration amendment (CC013C) must also contain exactly one ACR authorisation.

IF the /*/Authorisation group does not contain any ACR authorisations (normal procedure) THEN the declaration amendment (CC013C) must also not contain any ACR authorisations.

## 2.28. NR0028 – In EFBT during transition period DG Commodity Code is required

| NR0028 | CC015C, CC013C |
|---|---|

**IF** Export Followed by Transit procedure during transition period is declared
THEN DG 'CommodityCode'
(/*/Consignment/HouseConsignment/ConsignmentItem/Commodity/CommodityCode)
and DI 'Net mass' on consignment item level
(/*/Consignment/HouseConsignment/ConsignmentItem/Commodity/GoodsMeasure/netMass)
is required in every Consignment item that has previous document
(/*/Consignment/HouseConsignment/ConsignmentItem/PreviousDocument) type 'N830'declared.

## 2.29. NR0029 – Croatian customs office of destination

| **NR0029** | **CC007C** |
|---|---|
| Data<br>/*/CustomsOfficeOfDestinationActual/referenceNumber<br>must have value 'HR' in first two characters | |

## 2.30. NR0030 – 'Transport equipment' data group is required - SUSPENDED

| ~~NR0030~~ | **CC015C, CC013C, CC170C** |
|---|---|
| If data /*/TransitOperation/additionalDeclarationType in messages CC015C or CC013C contains value 'A', then datagroup "TransportEquipment" (/*/Consignment/TransportEquipment) and data "sequenceNumber" (/*/Consignment/TransportEquipment/sequenceNumber), "containerIdentificationNumber" (/*/Consignment/TransportEquipment/containerIdentificationNumber) and "numberOfSeals" (/*/Consignment/TransportEquipment/numberOfSeals) within datagrup "TransportEquipment" is required.<br><br>If data (/*/Consignment/TransportEquipment) in message CC170C and data "sequenceNumber" (/*/Consignment/TransportEquipment/sequenceNumber), "containerIdentificationNumber" (/*/Consignment/TransportEquipment/containerIdentificationNumber) and "numberOfSeals" (/*/Consignment/TransportEquipment/numberOfSeals) within datagrup "TransportEquipment" is required. | |