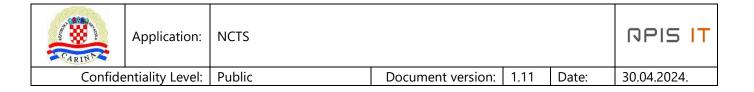


# **National Rules for NCTS5**

## **New Computerised Transit System Phase 5**





## **Development / Modification:**

Version	Description	Responsible	Organisational unit	Date
1.0	Initial version	APIS IT	Service for ISCU	27.12.2021.
1.1	NR0005 updated	APIS IT	Service for ISCU	29.12.2021.
1.2	NR0015, NR0016, NR0017, NR0018, NR0019, NR0020, NR0021 i NR0022 added	APIS IT	Service for ISCU	03.01.2023.
1.3	NR0010, NR0014, NR0019, NR0020 i NR0022 updated NR0023 i NR0024 added	APIS IT	Service for ISCU	03.02.2023.
1.4	NR0025 added	APIS IT	Service for ISCU	24.03.2023.
1.5	NR0026 and NR0027 added	APIS IT	Service for ISCU	16.05.2023.
1.6	NR0028 added	APIS IT	Service for ISCU	26.05.2023.
1.7	NR0004 updated and NR0029 added	APIS IT	Service for ISCU	31.05.2023.
1.8	NR0030 added	APIS IT	Service for ISCU	02.06.2023.
1.9	NR0004, NR0030 i NR0012 suspended NR0028 updated	APIS IT	Service for ISCU	22.08.2023.
1.10	NR0005 updated	APIS IT	Service for ISCU	05.12.2023.
1.11	NR0023 updated	APIS IT	Service for ISCU	30.04.2024

## Reviewed by:

Version	Responsible	Organisational unit	Date of review
1.8	APIS IT		30.04.2024

## Validated by:

Version	Responsible	Signature	Function/ Organisational unit	Date of validation
1.8	Nenad Fonjak		Head of Service for Application Solutions,	30.04.2024.
			Customs and Excise Records and	
			Statistics (Customs	
			Administration/Central Office/Sector for	
			Information System/	

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#### 1. INTRODUCTION

## 1.1. PURPOSE OF THE DOCUMENT

The document contains the information needed to check national rules and conditions on incoming external domain messages.

#### 1.2. TERMS AND ABBREVIATIONS

TERM/ABBREVIATION	EXPLANATION
NRxxxx	National rule

#### 1.3. REFERENCES

REFERENCE	DOCUMENT	VERSION
DDCOM	DESIGN DOCUMENT FOR COMMON OPERATIONS AND	20.20
	METHODS	
	DDCOM-Main Document-SfA-v20.20.docx	
APP-C	Appendix C: NCTS Codelists	5.15
	DDNTA_APP_C-v5.14_CSRD2_NCTS-P5_Export(en)_Valid_2020-	
	09-06_(2020-07-23)-v1·15.xlsx	
APP-K	Appendix K: Rules and Conditions Mapping	5.15
	DDNTA_APP_K-v5.15-SfA.xlsx	
APP-Q2	Appendix Q2: Technical Message Structure	5.15
	DDNTA_APP_Q2-v5.15-SfA.pdf	
APP-Q2-RC	Appendix Q2: Technical Message Structure	5.15
	DDNTA_APP_Q2 R_C-v5.15-SfA.xlsx	
APP-R	Appendix R: XML Mapping	5.15
	DDNTA_APP_R-v5.15-SfA.pdf	
APP-Y	Appendix Y: Data Groups & Transaction Hierarchy	5.15
	DDNTA_APP_Y-v5.15-SfA.pdf	
APP-Z	Appendix Z: Data Items	5.15
	DDNTA_APP_Z-v5.15-SfA.pdf	

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#### 2. NATIONAL RULES

#### 2.1. NR0001 – The amount of currency and guarantee is mandatory

NR0001 CC015C, CC013C

Data

/\*/Guarantee/GuaranteeRefference/ammountToBeCovered i
/\*/Guarantee/GuaranteeRefference/currency
is required .

#### 2.2. NR0002 – Type of currency

NR0002 CC015C, CC013C

Podatak /\*/Guarantee/GuaranteeRefference/currency must be 'EUR'.

#### 2.3. NR0003 - Message sender check

NR0003 CC015C, CC013C, CC014C, CC054C, CC141C, CC170C, CC007C, CC044C

Message sender (ZIISC\_Sender) must be recorded in the message, ie. must be identical to the data

/\*/messageSender.

#### 2.4. NR0004 - Message sender at departure - SUSPENDED

#### NR0004 CC015C, CC013C, CC014C, CC054C, CC170C

Message sender (ZIISC\_Sender) must be identical

to /\*/HolderOfTransitProcedure/<u>identificationNumber</u> or /\*/Representative/identificationNumber.

In case of CC014C or CC054C Message sender (ZIISC\_Sender) must be identical to /\*/HolderOfTransitProcedure/<u>identificationNumber</u> or (/CC015C/Representative/identificationNumber).

#### 2.5. NR0005 - Export followed by transit

NR0005 CC013C

IF Export Followed by Transit procedure is declared (transit declaration contains at least one previous document of type 'N830')

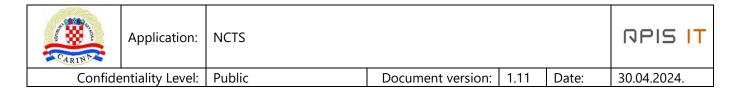
THEN, during Transition period, declaration amendment (CC013C) must also contain at least one previous document of type 'N830' on consignment item level

(/\*/Consignment/HouseConsignment/ConsignmentItem/PreviousDocument/type)

ELSE, post Transition period, declaration amendment (CC013C) must also contain at least one previous document of type 'N830' on house consignment level

(/\*/Consignment/HouseConsignment/PreviousDocument/type)

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2.6. NR0006 - GRN check

NR0006 CC015C, CC013C

Data /\*/Guarantee/GuaranteeReference/GRN must not be repeated in the declaration .

#### 2.7. NR0007 - Croatian Customs office of departure

NR0007 CC015C, CC013C

Data

/\*/CustomsOfficeOfDeparture/<u>referenceNumber</u>

must have value 'HR' in first two characters .

#### 2.8. NR0008 – Limit Date (expected date of arrival at destination)

NR0008 CC015C, CC013C

IF data /\*/TransitOperation/limitDate\_is specified

THEN it must be greater than or equal to the current date and must be in the current or the next year.

#### 2.9. NR0009 - Expected date of arrival at office of transit

NR0009 CC015C,CC013C

IF data /\*/CustomsOfficeOfTransit(Declared)/arrivalDateAndTime(Estimated)
is declared

THEN it must be greater than or equal to the current date and must be in the current or the next year and it must be less than or equal to the data /\*/TransitOperation/limitDate f that data is specified.

#### 2.10. NR0010 - Verification of ACR authorisation for simplified procedure at departure

NR0010 CC015C, CC013C

Data group /\*/Authorisation must not contain more than one authorization of type 'C521' (ACR authorisation).

**IF** data group /\*/Authorisation contains exactly one ACR authorisation (simplified procedure)

**THEN** 

1. that authorisation /\*/Authorisation/<u>referenceNumber</u> must be valid and the user of the procedure (/\*/HolderOfTheTransitProcedure) must be the user of the authorization

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total.

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2. declaration must not contain commodity codes

/\*/Consignment/HouseConsignment/ConsignmentItem/Commodity/Code/ harmonizedSystemSubheadingCode

prohibited by that authorisation

(which is determined by querying the CRS)

#### 2.11. NR0011 - Language at departure

NR0011	CC015C, CC013C
Data /*/TransitOperation/ <a href="mailto:communicationLanguageAtDepartum">communicationLanguageAtDepartum</a>	re must have value
'HR'	

#### 2.12. NR0012 - Message sender at destination - SUSPENDED

NR0012	CC007C, CC044C
Message sender (ZIISC_Sender) must be identical to	
/*/TraderAtDestination/identificationNumber	

#### 2.13. NR0013 - Language at destination

NR0013	CC007C
Data /*/TransitOperation/ <pre>communicationLanguageAtDestina</pre>	ntion must have value
'HR'.	

## 2.14. NR0014 – Verification of ACE authorisation for simplified procedure at destination

NR0014 CC007C

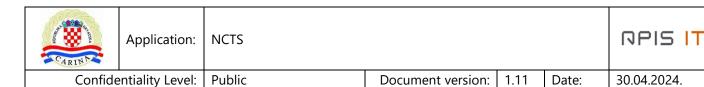
Data group CC007C/Authorisation must not contain more than one 'C522' type approval (ACE authorisation).

**IF** data group CC007C/TransitOperation/<u>simplifiedProcedure</u> has value '1' THEN data group CC007C/Authorisation must contain exactly one ACE authorisation, that authorisation (CC007C/Authorisation/<u>referenceNumber</u>) then must be valid and the trader at destination (CC007C/TraderAtDestination) must be the user of the authorization (which is determined by querying the CRS).

#### 2.15. NR0015 – Maximum appearance of Guarantee reference

NR0015	CC013C, CC015C
Data group /*/Guarantee/GuaranteeReference can be repeated a max	kimum of 30 times in

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These total 30 repeats were allowed to be within only one or within multiple /\*/Guarantee groups.

#### 2.16. NR0016 - Start of sending insurance inquiries

The data /\*/GuaranteeReference/GuaranteeQuery/periodFromDate must be greater than the NCTS 5 production date.

### 2.17. NR0017 - The number of occurrences of the GuaranteeReference group

NR0017	CC034C

Data group /\*/Guarantee/GuaranteeReference must appear only once.

#### 2.18. NR0018 - Insurance inquiry sender verification

NR0018	CC034C
INKUUTO	CCU34C

The data/\*/Requester/role must have the value '1' (user of the procedure).

The sender of the message (ZIISC\_Sender) must be equal /\*/Requester/identificationNumber.

#### 2.19. NR0019 - Checking all approvals by querying CRS

NR0019 CC015C, CC013C

If data group /\*/Authorisation contains authorization types different than 'C521' (ACR authorization) then they must be valid and the procedure user

(/\*/HolderOfTheTransitProcedure) must be the authorization user (as determined by querying the CRS).

## 2.20. NR0020 – Verification of approval when receiving a notice of arrival for a simplified procedure by querying the CRS

NR0020 CC007C
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Data group CC007C/Authorisation must contain valid authorizations, which is determined by querying the CRS.

**IF** data group CC007C/TransitOperation/<u>simplifiedProcedure</u> has a value of '1' and **IF** data group CC007C/Authorisation contains types of authorisation other than 'C522' (ACE approval) then they must be valid and the trader at destination (CC007C/TraderAtDestination) must be the holder of the authorisation (as determined by an enquiry in the CRS).

## 2.21. NR0021 – Verification of AEO approval for the Representative and user of the procedure

NR0021 CC015C, CC013C

It is necessary to request additional reference codes Y025, Y026 at the following declaration levels:

- \*.Consignment.AdditionalReference.Type
- \*.Consignment.HouseConsignment.AdditionalReference.Type
- \*.Consignment.HouseConsignment.ConsignmentItem.AdditionalReference.Type

Then check the validity of the AEO authorisation (representative/holder of the transit procedure) by querying the CRS against the found code (v.[Servisi]):

To check Y025, CC015C.REPRESENTATIVE.Identification number is used

To check Y026, CC015C.HOLDER OF THE TRANSIT PROCEDURE.Identification number is used

The AEO authorization must be valid, otherwise the message is rejected.

#### 2.22. NR0022 - Authorization check for simplified pre-filed procedure

NR0022 CC0170C

If it is a simplified procedure (NR0010) and if the declaration is submitted in advance (CC015C.TRANSIT OPERATION. Additional declaration type has the value 'D') then the authorization number specified in CC170C.CONSIGNMENT.LOCATION OF GOODS.authorisation number must be the same as the authorization type number 'C521' (ACR authorization) specified in the declaration (/\*/AUTHORISATION.reference\_number).

The system shall verify in the CRS that the CC170C approval is valid and that the holder of the procedure (/\*/HolderOfTheTransitProcedure) is the holder of the authorisation (v.[Servisi]).

#### 2.23. NR0023 – Mandatory identification number of the holder of the procedure

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NR0023 CC015C, CC013C

The data /\*/HOLDER OF THE TRANSIT PROCEDURE. Identification number is mandatory and if the first two characters of the data are from CL010 (CountryCodesCommunity) it must be a valid EORI number (which is determined by a query in EORI), and for the other members of CTC the TIN/TCUIN number can be used.

#### 2.24. NR0024 - Verification of AEO authorisation for Consignor, Consignee and Carrier

NR0024 CC015C, CC013C

If the declaration is marked as security (CC015C.TransitOperation.security=1,2 or 3), additional reference codes Y022, Y023 and Y028 should be requested at the following declaration levels:

- \*.Consignment.AdditionalReference.Type
- \*.Consignment.HouseConsignment.AdditionalReference.Type
- \*.Consignment.HouseConsignment.ConsignmentItem.AdditionalReference.Type

Then check the validity of the AEO authorisation (consignor/consignee/carrier) by querying the CRS (v.[Servisi]):

For verification Y022, if the approval is specified:

- at consignment level is used CC015C.CONSIGNMENT.CONSIGNOR.Identification number
- at the level of the internal consignment is used CC015C.CONSIGNMENT.HOUSE CONSIGNMENT.CONSIGNOR.Identification number

For verification Y023, if the approval is specified:

- at consignment level is used CC015C.CONSIGNMENT.CONSIGNEE.Identification number
- at the level of the internal consignment is used CC015C.CONSIGNMENT.HOUSE CONSIGNMENT.CONSIGNEE.Identification number
- at the item level is used CC015C.CONSIGNMENT.HOUSE CONSIGNMENT.CONSIGNMENT ITEM. CONSIGNEE.Identification number

To check Y028 is used CC015C.CONSIGNMENT.CARRIER.Identification number

The AEO authorisation must be indicated at the level of the declaration indicating the party to which the authorisation belongs and the authorisation must be valid, otherwise the message is rejected.

#### 2.25. NR0025 - Export followed by transit during transition period

NR0025	CC015C, CC013C
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IF Export followed by transit during transition period is usedTHEN

Each item (group /\*/Consignment/HouseConsignment/ConsignmentItem) may have only one previous document (subgroup PreviousDocument) of type 'N830'.

In that case reference number (data PreviousDocument.referenceNumber) has to be validexport MRN.

#### 2.26. NR0026 – Guarantee types for simplified procedure (ACR)

If a simplified procedure (NR0010) is declared, then only guarantee types under the jurisdiction of the guarantee office (/\*/GUARANTEE.Guarantee type from CL286) may be declared and at least one such guarantee must be declared

#### 2.27. NR0027 - Normal and simplified procedure (ACR)

NR0027	CC013C
NR0027	CC013C

IF the /\*/Authorisation group contains exactly one ACR authorisation (simplified procedure)

THEN the declaration amendment (CC013C) must also contain exactly one ACR authorisation.

IF the /\*/Authorisation group does not contain any ACR authorisations (normal procedure) THEN the declaration amendment (CC013C) must also not contain any ACR authorisations.

#### 2.28. NR0028 – In EFBT during transition period DG Commodity Code is required

NR0028 CC015C, CC013C

**IF** Export Followed by Transit procedure during transition period is declared THEN DG 'CommodityCode'

(/\*/Consignment/HouseConsignment/ConsignmentItem/Commodity/Code)
and DI 'Net mass' on consignment item level

(/\*/Consignment/HouseConsignment/ConsignmentItem/Commodity/GoodsMeasure/ne
tMass)

is required in every Consignment item that has previous document

(/\*/Consignment/HouseConsignment/ConsignmentItem/PreviousDocument) type 'N830'declared.

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## 2.29. NR0029 - Croatian customs office of destination

NR0029	CC007C		
Data			
/*/CustomsOfficeOfDestinationActual/referenceNumber			
must have value 'HR' in first two characters			

## 2.30. NR0030 - 'Transport equipment' data group is required - SUSPENDED

NR0030	CC015C, CC013C, CC170C
If data /*/TransitOperation/additionalDeclarationType in me contains value 'A', then datagroup "TransportEquipment" (/*/Consignment/TransportEquipment) and data "sequenceNumber(/*/Consignment/TransportEquipment/sequenceNumber), "conta (/*/Consignment/TransportEquipment/containerIdentificati "numberOfSeals" (/*/Consignment/TransportEquipment/numberOfSeals" (/*/Consignment/TransportEquipment/TransportEquipment/numberOfSeals" (/*/Consignment/TransportEquipment/TransportEquipment/NumberOfSeals" (/*/Consignment/TransportEquipment/NumberOfSeals" (/*/Consignment/TransportEquipment/NumberOfSeals" (/*/Consignment/TransportEquipment/NumberOfSeals" (/*/Consignment/NumberOfSeals" (/*/Consignme	per" inerIdentificationNumber" ionNumber) and
If data (/*/Consignment/TransportEquipment) in message CC1700 "sequenceNumber" (/*/Consignment/TransportEquipment/sequipment/sequipment/IndicationNumber" (/*/Consignment/TransportEquipment/containerIdentificationNumberOfSeals" (/*/Consignment/TransportEquipment/numberOfSeals" (/*/Consignment/NumberOfSeals" (/*/Consignment/Number	enceNumber),